Analysis of the impacts of CAV technologies on travel demand
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ABSTRACT

Connected and automated vehicle technologies are likely to have significant impacts on not only how vehicles operate within the transportation system, but also on how individuals behave and utilize their vehicles. While many connected and autonomous vehicle technologies have the potential to increase network throughput and/or efficiency, i.e. connected adaptive cruise control, eco-signals, many of these same technologies have a secondary effect of reducing driver burden which can drive changes in travel behavior. Such changes in travel behavior, in effect lowering the cost of driving, have the potential to greatly increase the utilization of the transportation systems with concurrent negative externalities such as congestion, energy use, emissions, and so on, working against the positive effects on the transportation system due to increased capacity.

To date relatively few studies have analyzed the potential impacts on CAV technologies from a systems perspective, often focusing on gains and losses to an individual vehicle, at a single intersection, or along a corridor. However, travel demand and traffic flow is a complex, adaptive, non-linear system, so in this study we use an advanced transportation simulation model, POLARIS, which includes co-simulation of travel behavior and traffic flow, to study potential impacts of several connected and automated vehicle technologies at the regional-level. We have analyzed various market penetration levels and changes in travel time sensitivity to determine a potential range of VMT impacts from various CAV technologies.
Automated vehicle technologies might have significant impacts on many aspects of the transportation system. Various CAV technologies, such as connected adaptive cruise control, assisted driving or autopilot systems, or connected intersections among others, will change not only how vehicles operate within the transportation system, but also on how individuals behave and utilize their vehicles. Much of the focus to date has been on how individual technologies may increase network throughput and/or efficiency, enhance safety, and offer other positive benefits to the overall transportation system. However, many of these same technologies have a secondary effect of reducing driver burden which can drive changes in travel behavior. Such changes in travel behavior, in effect lowering the cost of driving, have the potential to greatly increase the utilization of the transportation systems with concurrent negative externalities such as congestion, energy use, emissions, and so on, working against the positive effects on the transportation system due to increased capacity and efficiency. Therefore, understanding the impacts of CAV technologies on the demand for travel, becomes an important component to quantifying the overall impact of such technologies. To date relatively few studies have analyzed the potential impacts on CAV technologies from a systems perspective, often focusing on gains and losses to an individual vehicle, at a single intersection, or along a corridor. Therefore, as a demonstration, we analyze the potential regional impacts of a range of CAV technology, ranging from Connected Adaptive Cruise Control (CACC) up to full, but not driverless, automation.

In this study we use an advanced transportation systems simulation model, POLARIS, which includes co-simulation of travel behavior and traffic flow, to study potential impacts of several connected and automated vehicle technologies at the regional-level. We have analyzed potential impacts, in terms of changes in vehicle miles travelled, over various market penetration levels for a feasible range of changes in travel time sensitivity to determine a potential range of VMT impacts from CAV deployment. We demonstrate the impact of CAV on mobility patterns in Chicago metropolitan area, a region with a population of 10.2 million people and covers portions of 20 counties in northern Illinois, southern Wisconsin and northwestern Indiana. The travel demand model is an activity based model that is sensitive to the changes in congestion patterns and value of time. The mesoscopic traffic flow model allows for aggregated representation of the changes in traffic flow as a result of automated vehicles present in the flow. Changes in travel activities are simulated simultaneously with the traffic flow, thus the outcome of the simulation model includes both highway network performance as well as individual activity patterns.

LITERATURE REVIEW

Possible changes in travel demand due to connectivity (V2V and V2I) and increased automation are uncertain, and estimates from the few studies of travel demand impact vary widely, especially for high levels of automation. Fagnant and Kockelman (2015) and Brown et al (2013) reviewed several such sources, and list possible ways that vehicle automation may impact travel behavior including: providing mobility for non-drivers, changes in parking patterns due to self-parking cars, increased travel by underserved population segments (e.g., young children and disabled), and increased travel induced by a lower perceived cost of travel time.

In addition, if crashes and congestion are reduced, travel may be faster and more reliable, and travel demand may increase. Less congestion and fewer crash delays would effectively increase capacity, which could induce increased travel. Travel demand induced by increased capacity was
reviewed by Cervero (2001) who reported a range of elasticities of urban VMT with respect to lane-miles of 0.47 to 1.0. However, capacity increases from vehicle connectivity and automation are not the same as an increase in lane-miles; they may increase throughput on existing lanes, but do not increase network connectivity or accessibility to more destinations. Hymel et al (2010) estimated elasticities of VMT with respect to lane-miles, disaggregating the VMT change due to a change in road-miles from that due to a change in lane-miles (at constant road-miles), and found much lower values for the elasticity with response to lane-miles: 0.037 short-run, 0.186 long-run. This indicates that increasing capacity by vehicle connectivity and automation without increasing network connectivity with new roads would induce less VMT increase than the elasticities reported by Cervero would imply, however, the influence of CAVs on future VMT is highly uncertain, and depending on how CAVs will be adopted and used.

In a recent report, KPMG projected personal travel in the U.S. to increase by approximately 500 million person-miles-traveled (PMT) due mostly to population growth, but PMT could increase by twice this amount due to increased use of mobility-as-a-service, enabled by connectivity and automation, especially by persons 16-24 years old and 65-84 years old. Corresponding increases in VMT are highly uncertain due to the uncertainty in average vehicle occupancy, depending on the adoption of ridesharing and automated vehicles which may travel unoccupied part of the time. A wide range of potential VMT impacts was estimated by Wadud et al (2016): VMT increase of 4-13% with partial vehicle automation (e.g., driver assist), and 30-60% for full automation. A large component of the VMT impact was the change in the value of travel time. They assumed a range of travel time value in fully automated vehicles from 20% to 50% of the value of time spent driving a conventional vehicle.

Childress et al (2015) assessed the potential change in patterns in the Puget Sound region in scenarios modeled using an activity-based travel model. Scenarios analyzed included a 30% increase in existing roadway capacity, which resulted in a 3.6% increase in VMT, a decrease in perceived value of travel time cost of 35% for the highest-income households in addition to the 30% increase in capacity, which gave a VMT increase of 5.0%. In a third scenario, which assumed that everyone owned an automated vehicle (none of which were shared), a 30% increase in roadway capacity, and a 50% reduction in parking costs, VMT increased 19.6%, with an increase in average commuting distance of 60%. Notably in the third scenario Childress et al found increased delays (17.3% increase in vehicle-hours-traveled). They remarked that people may be more willing to travel in congested conditions in automated vehicles.

Gucwa (2014) used another activity-based model to simulate travel in the San Francisco Bay area under different assumptions about the resulting capacity increases from automation (none, 10% and doubling). He estimated a 4 to 8% increase in VMT (up to 14.5% increase if a zero cost of travel was assumed for traveling in an automated vehicle). Levin & Boyles (2015) hypothesized a travel demand model that they used in a four-step transportation model, and found that increased road capacity could increase travel demand, including travel by empty automated vehicles being repositioned. Gucwa, Childress et al., and Levin & Boyles did not model changes in land use, e.g., changes in spatial distributions of residences or job locations. With such changes included, VMT increases could be significantly higher.

The potential increase in travel by the underserved is also very uncertain. Harper et al (2015) examined travel by people with medical conditions, non-drivers, and the elderly in the 2009
National Household Travel Survey (NHTS), and they estimated a total potential increase of
VMT of 12%. Brown et al. (2014), also using NHTS data, estimated a potential increase of up to
50% in VMT by underserved, based on different assumptions about how each segment would
increase their travel in automated vehicles. Using more conservative assumptions, MacKenzie et
al (2014) analyzed travel by young and elderly in the NHTS, and estimated possible increases of
VMT from 2 to 10%. All of these estimates assumed travel by underserved would be facilitated
by fully automated vehicles, since little impact on travel by this population would be expected
from partial automation.

Some changes in travel behavior are results of increased network capacity and reduced travel
times as a result of automation. Several authors analyzed impact of Cooperative adaptive cruise
control (CACC) and automation on traffic flow. CACC combines the adaptive cruise control
with the vehicle to vehicle communication that allows improved speed control strategies.
Forward vehicles communicate information about downstream traffic and provide speed
recommendations. The goal of CACC is to improve three metrics associated with a
transportation system, namely mobility (reduce congestion), sustainability (reduction in energy
used) and safety. This improvement come from reduced headways between vehicles while
maintaining traffic flow speed, and thus improving road throughput and avoiding traffic flow
breakdowns at high density traffic flows. CACC improves on autonomous adaptive cruise
control by allowing vehicle to receive information about lead vehicle earlier that allows to
develop better control algorithms (Lu 2011) and keep the following distance as close as 0.6
seconds (Nowakowski 2010). Additional energy reduction benefits come from reduced drag
forces experiences by following vehicles due to reduced air resistance. There are several studies
that show energy benefits of truck and vehicle platoon in isolated test environments that utilize
tightly-coupled platooning.

A study involving 3 trucks driving at distance of 0.45 seconds at the speed of 80 km/h was
presented in Tsugawa 2013. The control algorithms for lateral movement relies on radar
measurements as well as communication between the vehicles. Analysis of the field data shows
14% savings in energy. Under similar speed (60 and 80 km/h) and headway conditions (from 0.3
to 0.45 seconds) a platoon of two trucks we studied by Bonnet (2000). The trucks were
connected through an electronic system that consists of a vehicle to vehicle controller, a tow bar
controller and image processing unit. Overall, the reduction in fuel consumption ranged from 15
to 21 percent at 80 km/h, and 10 to 17 percent at 60 km/h. There was a 3 percent fuel
consumption error factor at 80 km/h, and a 4.4 percent fuel consumption error factor at 60 km/h.

Browand (2004) studied fuel consumption of two tandem trucks linked via an electronic control
system and report 8-11% fuel savings. Alam (2010) tested speed control algorithms for follow
vehicle that uses information about the road ahead sensed by the lead vehicle. They showed 5-8%
fuel efficiency improvement. Computational fluid dynamics simulation performed by Davila
(2013) confirm the field studies and show that optimal headway distance to reduce the drag
forces is 6-8 meters and potentially lead to 7-15% fuel savings. Similar studies were performed
improvements with CACC using constant-time-gap-following criteria in normal traffic
conditions have not yet been demonstrated. Several simulation studies showed that CACC that
enables shorter following gaps increases capacity from the typical 2200 vehicles per hour to
almost 4000 vehicles per hour at 100 percent market penetration. In a study Vander Werf et al (2002) estimated the effects of CACC using Monte Carlo simulation based approach that utilizes detailed models of vehicle control.

However, improvement in mobility metrics might lead to secondary impacts, such as increase in travel demand. The goal of this paper is to study the interaction between the improved traffic flow and changes in demand induced by value of travel time reductions along with reduced congestion. Our study builds on past work by Gucwa and Childress et. al., as well as previous analysis of changes in network capacity due to automation. We analyze potential changes in travel demand due to various CAV deployment scenarios and potential behavior impacts, from simple CACC at the low end to full automation. This analysis, however, is limited to privately owned vehicle contexts (no shared fleets) and assumes a driver is always in the vehicle (no zero-passenger trips). We utilize a unique transportation systems simulation model Polaris, where travel demand and traffic flow are directly and continuously integrated, to model likely scenarios. The research incorporates the analysis of demand under a feasible range of travel time valuations, and incorporates research on link capacity changes under various market penetration levels of CACC to formulate the scenarios. Next, the activity-based model which forms the basis for the research is discussed.

POLARIS ACTIVITY-BASED TRANSPORTATION SYSTEMS MODEL

The POLARIS activity-based travel demand simulation model is a fully-integrated simulation of both individual travel and intelligent transportation system operations that has been developed using an agent-based modeling framework (Auld et al 2015). The model consists of a series of components found in travel demand, network simulation and operations models. At the center of the model is a person-agent which represents the travelers in the system and their activity and travel planning behavior. The travelers operate in an environment represented by the transportation network agents to handle movements through the system. The various components are discussed in the following section.

Activity-based travel demand modeling

The POLARIS Integrated activity-based travel demand and transportation systems simulation model (Auld et al 2015), was used to simulate CAV deployment. POLARIS includes an activity-based demand model which is implemented as a series of actions and behaviors that traveler agents engage in during the simulation process for generating their activity-travel needs. The demand behaviors modeled include time-dependent activity generation, within simulation activity attribute planning and re-planning, and a detailed activity scheduling model which resolve schedule conflicts and maintains a consistent daily schedule for the agent. The demand components are also responsive to network and traffic management events, which can result in agent re-planning. The demand components implemented in the POLARIS demonstration model derive from previous work in modeling activity-planning and scheduling behaviors found in the development of the ADAPTS (Agent-based Dynamic Activity Planning and Travel Scheduling) model (Auld and Mohammadian 2009). The demand model is an activity-based computational process model, which simulates the underlying activity and travel planning and scheduling.
processes. The model continuously integrates with traffic simulation where the generation, planning and scheduling of activities occurs in continuous time and is co-simulated along with the time-dependent traffic simulation.

The planning behaviors implemented in the model include destination choice, route choice, mode choice, etc. All include cost components relating to the expected travel time which vary based on a number of factors that theoretically may change under CAV deployment by reducing the burden associated with travel. For example, the location choices and mode choices for generated activities are made using a variation of the MNL random utility maximization model, where one of the utility components is the travel time to the destination, or using the selected mode. By varying the utility parameters for travel time we can represent changes in travel time valuations for subgroups of the overall modeled population which have access to CAV technologies. It is important to note that the choices are still constrained by scheduling, resource availability, time availability and other constraints, as well as the temporal and spatial distribution of activity opportunities, available modes, etc. The overall simulation flow, interactions between the demand model components and between the demand model and the network model are shown in Figure 1. The figure shows the basic simulation process which each traveler agent follows in the POLARIS model, and the time resolution at which the various discrete events are scheduled.

Next, the traffic simulation model is discussed.

Traffic simulation

The traffic simulation model involves solving a set of partial differential equations for the Newell’s Simplified Kinematic Waves Traffic Flow model (Newell, 1993). The model is used as the traffic simulation model agent in the POLARIS framework. The traffic simulation model includes a set of traffic simulation agents for intersections, links, and traffic controls, which take
input from the individual route choice and movement actions of the person agent. Given as input
a set of travelers with route decisions and the traffic operation and control strategies in the
network, the network simulation model agent simulates traffic operations and controls to provide
capacities and driving rules on links and turn movements at intersections. With these capacity
and driving rule constraints, link and intersection agents simulate the traffic flows using
cumulative departures and arrivals as decision variables based on the Newell’s Simplified model,
which then determines the network performance for the route choice model, the demand model,
and as well as the ITS model in the integrated framework. The traffic simulation model agents
also produce a set of measures of effectiveness (MOE) such as average speed, density, and flow
rate, as well as individual vehicle trajectories.

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Traveler information and decision making

Traveler decision making is represented in POLARIS as a set of route choice and route re-
planning behaviors. The route choice model describes traveler agents’ dynamic route choice
decisions with response to pre-trip and en-route traffic information. All travelers are assumed to
be able to access prevailing traffic information prior to departure. Equipped travelers can access
real-time traffic information during their trip through their equipped devices with navigation
services using real-time traffic information. Unequipped travelers can access real-time traffic
information disseminated from a TMC through ATIS dissemination infrastructures such as VMS
and Radio to respond to both recurrent and non-recurrent traffic congestions. A bounded
rationality en-route switching model (Jarakrishnan et al. 1994) is used to realistically address the
en-route switching behavior of traveler agents. This route choice modeling framework also
incorporates traveler agents’ dynamic route choice decisions with response to experienced traffic
by comparing experienced route travel time to the expected travel time as each network node is
traversed (i.e. if the current route is performing poorly the bounded rationality switching model
is triggered), and by implementing a look-ahead function in which the real-time travel time for
the next link is evaluated. This allows traveler routes to evolve and respond to congestion even in
the absence of ATIS. Route switching is also triggered through interaction with VMS or radio by
comparing messages against the links in the current trip, and evaluating the travel route
incorporating the message information. In the route choice model for each traveler agent, we
implement a weighted A-Star shortest path algorithm. This implementation allows the
parallelization of route calculations by each individual, and enables heterogeneous route cost
functions to be utilized, which can incorporate the effects of CAV technology availability to
individual travelers. For example, travelers with CACC may seek to minimize non-highway
travel due to the perceived reduction in burden while traveling on the highway.

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Automation impacts on traffic flow

For this study, the impact of CAV vehicles on traffic flow was assumed to derive from the
CACC capability, i.e. the vehicles function the same as human-driven cars in all other respects.
The only exception to this for the current study was at a 100% market penetration level where we
removed intersection controls to replicate the effect of automated intersections. We studied the
impact of CACC at different market penetration rates on a regional scale by adjusting the
capacities of road links according to the values reported in Vander Werf (2002) and Shladover
(2012). Figure 2 below shows the relationship between CACC vehicle penetration level (percent

of equipped vehicle presented in the traffic flow) and improvement in the road capacity. In the
simulation, the CACC penetration rate on each link, defined as the number of equipped vehicles
entering the link divided by the total vehicles entering the link over a five- minute time period, is
continuously updated as agents execute their travel plans. As the penetration rate changes, the
link capacity used in the flow model is updated according to Figure 2, resulting in updated link
performance.

![Figure 2 – Capacity change vs. market penetration of CACC](image)

**CASE STUDY ANALYSIS**

**Chicago metropolitan area model**

We chose to demonstrate the regional impacts of CAV deployment for the Chicago area. The
POLARIS model of the Chicago metropolitan area has been developed based on an existing
regional travel demand. The model includes the multimodal transportation planning network
covering portions of 20 counties in northern Illinois, southern Wisconsin and northwestern
Indiana. The transportation planning network includes 31,278 links and 18,951 nodes as well as
representations of the regional bus and rail lines and stations. There are approximately 10.2
million travelers living in 3.8 million households in the region, engaging in 27.9 million trips on
an average day, all of which are simulated in the POLARIS model. The model has been
developed and calibrated against regional survey data, traffic counts and highway detector sensor
data over the past several years, and forms a useful basis for the scenario analysis study.
Scenario setup

The scenario definition for the study involves the variation of several model variables in the baseline POLARIS travel demand model. First is the market penetration rate, which determines which travelers are randomly assigned to possess CAV technology. It is important to note that the remainder of the scenario variables are only modified for travelers with automation technology. Next, the change in traveler value of travel time savings (VOTT) is specified. This is implemented as a reduction in any travel time parameters in the underlying choice models as discussed above. Due to a lack of empirical data on VOTT changes due to automation, the values for VOTT changes were varied from no change to 75% reduction which was found to be a feasible range in the literature, i.e. Mackenzie et al (2013), with the higher VOTT changes corresponding approximately to increased automation levels with uncertainty. In other words, it is clear that the VOTT under full automation will be less than under partial automation, but the exact values are unclear at this time. The capacity increase on individual road segments was also varied over different scenarios in two ways. In one set of scenarios, the capacity change alone was varied from 12% increase to 77% increase - representing feasible ranges from the Shladover et al study (2012). In the remaining scenarios, capacity was changed according to the previously described relation between capacity and market penetration. Finally, in scenarios where CAV technology penetration was 100%, we also assume that intersections can be automated and intersection control is turned off in the model. The input variable ranges can be seen in Table 1.

Scenario analysis results

The baseline Chicago model, and the 18 separate scenarios described in Table 1, were all simulated using POLARIS. The POLARIS model outputs changes for each individual traveler as well as changes in overall vehicle miles travelled (VMT), vehicle hours travelled (VHT) and average travel time. The comparison between the results for each scenario is shown in the table. In general, it is seen that all of the scenario changes have the effect of increasing vehicle miles travelled.
The results for capacity changes induced by CACC alone are shown in Figure 3. It is seen here that changes in capacity increase overall VMT, although only to a small degree, with about 4% induced additional VMT for an increase in capacity of 80%. The elasticity of VMT with respect to capacity of 0.05 is in line with short run estimates found in Hymel et al (2010) of 0.037, which is reasonable as this model is focused on short run, i.e. daily activity choices rather than long term choices such as residence or workplace, changes in which can induce additional demand.
Next, we look at the impact of value of travel time changes in isolation, with no change in roadway capacity due to the technology. In Figure 4, the results for the two cases, low (20%) and high (75%) market penetration, for a variety of feasible VOTT reductions are shown. The VOTT reduction values represent current conditions (0% reduction), high VOTT reduction (75%) and two points in between, similar to values used in other studies. The high VOTT reduction figure assumes travel time in CAVs is similar in comfort, convenience, and other factors as experience during travel in high quality transit. Overall, we find that reducing travel time cost significantly increases VMT, with an 18% increase in VMT for the high VOTT reduction case at low penetration levels and a 59% increase at high penetration levels. As expected, reducing the cost of travel increases the consumption of travel.
Finally, the results for the scenarios where all effects are evaluated simultaneously are shown in Figure 5. In this figure, the VMT changes are plotted against market penetration levels for three different VOTT reduction levels, where the capacity change is modeled based on the given market penetration according to Figure 3. In this case, we have VMT changes under 100% market penetration ranging from 21% for 25% VOTT reduction up to 79% for 75% VOTT reduction. The estimated 5% increase in VMT from the analysis by Childress for 35% reduction in VOTT and 30% increase in capacity appear to align with these results (assuming the 30% increase in capacity arises from ~50-60% market penetration. The travel increase for the high VOTT reduction case, comes with a reduction in average travel speed, from 32mph to 20 mph and increase in average vehicle hours traveled from about 1 hour to over 2.5 hours and an increase in average trip time to over 70 minutes, indicating much further trips and more congestions. Such changes would require significant activity substitution. These results demonstrate the wide uncertainty resulting from potential behavioral changes from CAV, which are still largely unknown due to low levels of deployment of such technologies. It is possible that there are travel time budget effect or in-vehicle-activity satiation effects which could make such drastic travel time increases infeasible.

**FIGURE 5 – VMT CHANGE BY MARKET PENETRATION AND VOTT CHANGE (ALL EFFECTS)**

**DISCUSSION AND CONCLUSIONS**

In this research, we have applied an integrated transportation system model to analyze the impact of a range of hypothesized, privately operated vehicles with CAV technologies on the performance of the transportation network and changes of mobility patterns in Chicago metropolitan region. The transportation system model used, called POLARIS allows for analyzing the interconnection between the changes in the congestion levels, traveler behavior and activity patterns. We have looked at a wide range of potential scenarios, varying the market penetration, capacity changes and travel time valuations. Our results show that changes in capacity increase overall VMT, although only to a small degree, with about 4% induced
additional VMT for an increase in capacity of 80%. The elasticity of VMT with respect to capacity of 0.05. In contrast, changes in travel time cost, or value of travel time savings, have a significant impact, especially at very low levels of VOTT, increasing VMT by up to 59% and while average travel time increases from about 20 minutes to over 70 minutes. This analysis provides potential feasible bounds for impacts of CACC and other CAV technologies over a range of penetration levels. However, these results are fairly preliminary and much uncertainty still exists in terms of what VOTT changes would be experienced.

There are several possible improvements to the methodology used for analysis. An assumption on uniform spatial distribution of the equipped vehicles in the region can be improved by using a probabilistic model that relates the socio-demographic characteristics of people to the likelihood of owning a vehicle. Such models exist for other vehicle technologies, such as electric vehicles and can be potentially be used to improve the assumptions about the automated vehicles. Additionally, an improved traffic flow model would allow the capacity to be dynamically adjusted for each of the road segments capacity given the current position of CACC vehicles on that specific link, i.e. are vehicles able to platoon and take advantage of CACC given their entry times. This would improve upon the current analysis which simply uses the average penetration rate on the link over a short time interval. Finally, improving the analysis by including sustainability metrics, in particular impact of changes in mobility patterns on fuel consumption and greenhouse gases, is another potential way to improve on the work presented.

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